

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-22425

In the Matter of
American Electric Power Company Inc.,
Respondent.

PLAN NOTICE OF AMERICAN ELECTRIC POWER COMPANY FAIR FUND

TO: Individuals and entities, or their lawful successors, who purchased or acquired American Electric Power Company Inc. common stock registered with the Commission and traded under the symbol AEP (the “Security”) during the period of January 1, 2018, through June 7, 2021, inclusive (“Relevant Period”).

If you fall within the group above, you must submit a completed Claim Form with the necessary documentation on or before the deadline to be considered for eligibility to receive a Distribution Payment from the American Electric Power Company Fair Fund. If you send the Claim Form by U.S. Mail, it must be postmarked by NOVEMBER 23, 2026 (the “Claims Bar Date”). If you submit the Claim Form online, it must be received by 11:59 P.M. PST ON NOVEMBER 23, 2026.

I. Purpose of this Plan Notice

The purpose of this Plan Notice is to inform you that you may be eligible to share in the proceeds of the American Electric Power Company Fair Fund described herein. To be potentially be eligible to share in the Electric Power Company Fair Fund, you must file a Claim Form in accordance with the steps set forth in this Plan Notice and in the Plan of Distribution (the “Plan”) approved by the Securities and Exchange Commission (“SEC” or “Commission”). Claim Forms, together with this Plan Notice, are being mailed to all known Preliminary Claimants¹ who are identified as Preliminary Claimants by the Commission-appointed Fund Administrator (“Fund Administrator”), Epiq Class Action & Claims Solutions, Inc. (“Epiq”).² Copies of the Plan, this Plan Notice, and the Claim Form are available on the American Electric Power Company Fair Fund website at www.AmericanElectricFairFund.com and through the Commission’s website at <https://www.sec.gov/enforcement-litigation/distributions-harmed-investors/american-electric-power-company>. Certain persons are excluded from eligibility under the Plan; these exclusions are summarized in Section III below.

Please Note: Receipt of this Plan Notice does not mean you are a Preliminary Claimant as that term is defined in the Plan.

SPECIAL NOTICE TO SECURITIES BROKERS AND OTHER NOMINEE PURCHASERS: If you purchased or acquired shares of the Security during the Relevant Period as a nominee for a beneficial owner, then within fourteen (14) days after you receive this Plan Notice, you must either: (a) send a copy of this Plan Notice and the accompanying Claim Form by U.S. Mail to all such beneficial owners; or (b) provide a list of the names and addresses of such beneficial owners to the Fund Administrator listed in Part VI of this Plan Notice.

PLEASE READ THIS PLAN NOTICE CAREFULLY AND IN ITS ENTIRETY. IF YOU SATISFY THE ELIGIBILITY CRITERIA DESCRIBED BELOW, YOU MAY BE ELIGIBLE TO RECEIVE A DISTRIBUTION PAYMENT FROM THE AMERICAN ELECTRIC POWER COMPANY FAIR FUND. THIS PLAN NOTICE CONTAINS IMPORTANT INFORMATION REGARDING YOUR POSSIBLE ELIGIBILITY TO SHARE IN THE ELECTRIC POWER COMPANY FAIR FUND.

¹ Capitalized terms not defined here are defined in the Plan.

² On March 30, 2026, the Commission appointed Epiq Class Action & Claims Solutions, Inc. (“Epiq”), as the Fund Administrator.

II. Background

On January 17, 2025, the Commission issued an Order Instituting Cease-and-Desist Proceedings Pursuant to Section 8A of the Securities Act of 1933 and Section 21C of the Securities Exchange Act of 1934, Making Findings, and Imposing a Cease-and-Desist Order (the “Order”) against American Electric Power Company, Inc. (“AEP”).³ In the Order, the Commission found that AEP violated Securities Act Section 17(a)(2), Exchange Act Sections 13(a), 13(b)(2)(A), and 13(b)(2)(B) and Exchange Act Rules 12b-20 and 13a-1 thereunder. Specifically, the Commission found that AEP made materially misleading statements regarding its relationship with Empowering Ohio’s Economy, Inc. (“Empowering Ohio”), failed to disclose material related-party transactions in its 2019 Form 10-K, failed to maintain accurate books and records, and failed to devise and maintain a sufficient system of internal accounting controls related to the identification and disclosure of material related-party transactions. The Commission ordered AEP to pay a \$19,000,000.00 civil money penalty. The Commission also created a Fair Fund, pursuant to Section 308(a) of the Sarbanes-Oxley Act of 2002, to allow the penalty collected to be distributed to harmed investors (the “Fair Fund”).

The Fair Fund consists of the \$19,000,000.00 collected from AEP. On June 23, 2026, the Commission approved the Plan of Distribution.⁴

III. Eligibility Criteria and the Distribution Methodology

To qualify for a payment from the American Electric Power Company Fair Fund, you must satisfy certain eligibility criteria that are described in detail in the Plan. The Plan is available on the Fair Fund website at www.AmericanElectricFairFund.com and on the Commission’s public website at <https://www.sec.gov/enforcement-litigation/distributions-harmed-investors/american-electric-power-company>. You can also request a copy of the Plan by calling the Fund Administrator at 1-888-399-8856 or by emailing Info@AmericanElectricFairFund.com. The eligibility criteria include the following:

- You must have purchased the Security during the Relevant Period.
- Your approved transactions must calculate to a Recognized Loss as calculated according to the Plan of Allocation attached to the Plan as Exhibit A, and your Distribution Payment must equal or exceed \$20.00.

You are excluded from participation in the American Electric Power Company Fair Fund if you are an Excluded Party as defined in the Plan, including:

- The Respondent (“American Electric Power Company Inc.”).
- Present or former officers or directors of the Respondent or any assigns, creditors, heirs, distributees, spouses, parents, dependent children or controlled entities of any of the foregoing Persons or entities.
- Any employee or former employee of the Respondent or any of its affiliates who has been terminated for cause or has otherwise resigned, in connection with the conduct described in the Order.
- Any Person who, as of the Claims Bar Date, has been the subject of criminal charges related to the conduct described in the Order or any related Commission action.
- Any firm, trust, corporation, officer, or other entity in which the Respondent has or had a controlling interest.
- The Fund Administrator, its employees, and those Persons assisting the Fund Administrator in its role as the Fund Administrator.
- Any purchaser or assignee of another Person’s right to obtain a recovery from the Fair Fund for value; provided, however, that this provision shall not be construed to exclude those Persons who obtained such a right by gift, inheritance or devise.

The Recognized Loss incurred by a Preliminary Claimant shall be determined as set forth in the Plan. The methodology used to determine eligibility and calculate Distribution Payments is set forth in the Plan of Allocation attached to the Plan as Exhibit A.

IV. Claim Forms

A CLAIM FORM IS BEING MAILED TOGETHER WITH THIS NOTICE TO ALL PRELIMINARY CLAIMANTS KNOWN TO THE FUND ADMINISTRATOR. IF YOU DO NOT RECEIVE A CLAIM FORM IN THE MAIL OR REQUIRE ADDITIONAL CLAIM FORMS, FOLLOW THE INSTRUCTIONS BELOW UNDER “ADDITIONAL INFORMATION.”

³ Securities Act Rel. No. 11356 (Jan 17, 2025)

⁴ Securities Exchange Act Rel. No. 105751 (June 23, 2026).

CLAIM FORMS MAY BE SUBMITTED (I) BY U.S. MAIL TO THE PO BOX BELOW, (II) THROUGH THE ONLINE CLAIMS PORTAL, OR (III) VIA EMAIL TO INFO@AMERICANELECTRICFAIRFUND.COM.

MAILED SUBMISSIONS MUST BE POSTMARKED ON OR BEFORE NOVEMBER 23, 2026. ONLINE AND EMAIL SUBMISSIONS MUST BE RECEIVED BY 11:59 P.M. PACIFIC TIME ON NOVEMBER 23, 2026, ALSO REFERENCED HEREIN AS THE “CLAIMS BAR DATE”.

IF YOU FAIL TO SUBMIT A COMPLETED CLAIM FORM POSTMARKED ON OR BEFORE NOVEMBER 23, 2026, OR RECEIVED ONLINE ON OR BEFORE 11:59 P.M. PACIFIC TIME ON NOVEMBER 23, 2026, YOU WILL BE BARRED FROM RECEIVING A PAYMENT FROM THE AMERICAN ELECTRIC POWER FAIR FUND. THE CLAIM FORM MUST BE ACCOMPANIED BY APPROPRIATE SUPPORTING DOCUMENTS FOR EACH TRANSACTION LISTED IN PART III OF THE CLAIM FORM.

V. Claim Determinations

The Fund Administrator will provide a Claim Status Notice within 45 days of the Claims Bar Date to each Preliminary Claimant who has filed a deficient Claim Form with the Fund Administrator. The Claim Status Notice will provide to each Preliminary Claimant whose claim is deficient, in whole or in part, the reason(s) for the deficiency (e.g., failure to provide required information or documentation). In the event the claim is denied, in whole or in part, the Claim Status Notice will state the reason(s) for such denial. The Claim Status Notice will also notify the Preliminary Claimant of the opportunity to cure any deficiency, request reconsideration, or dispute the determination made by the Fund Administrator and provide instructions regarding what is required to do so.

Within 150 days of the Claims Bar Date, the Fund Administrator will complete all claims determinations and send a Determination Notice to all Preliminary Claimants who timely submitted a Claim Form notifying the Preliminary Claimant of its eligibility determination. The Determination Notice will further provide to each Preliminary Claimant that is determined to be a Preliminary Claimant his, her, or its calculated Recognized Loss. The Determination Notice will constitute the Fund Administrator’s final ruling regarding the eligibility status of the claim.

The Fund Administrator may consider disputes of a Preliminary Claimant’s Recognized Loss calculation if presented in writing to the Fund Administrator within 30 days of the date of the Determination Notice. Within 30 days of receiving a Preliminary Claimant’s dispute, the Fund Administrator will notify the Preliminary Claimant, in writing, of its calculation of the Preliminary Claimant’s Recognized Loss after considering the dispute. This notice will constitute the Fund Administrator’s final ruling regarding the loss calculations for the claim.

Instructions for Submitting a Claim Form

Claim Forms must be submitted (i) by U.S. Mail, (ii) by electronic submission through the online claims portal, or (iii) via email, as set forth below.

U.S. Mail:

Claim Forms submitted by U.S. Mail must be postmarked on or before November 23, 2026 (the “Claims Bar Date”). Claim Forms should be mailed to:

American Electric Power Company Inc. Fair Fund
Fund Administrator
PO Box 2329
Portland, OR 97208-2329

A Claim Form that is not postmarked on or before the Claims Bar Date shall be deemed untimely and shall bar the claimant from receiving a distribution from the Electric Power Company Fair Fund.

Online Submission:

Claim Forms may be submitted online through the claims portal located at www.AmericanElectricFairFund.com. Online submissions must be received no later than 11:59 p.m. Pacific Time on November 23, 2026.

Email Submission:

Claim Forms may also be submitted via email to Info@AmericanElectricFairFund.com. Email submissions must be received no later than 11:59 p.m. Pacific Time on November 23, 2026.

Online and email submissions must include all required supporting documentation for each transaction listed in Part III of the Claim Form.

IMPORTANT: A Claim Form that is submitted online or by email that is not received on or before the Claims Bar Date shall be deemed untimely and shall bar the claimant from receiving a distribution from the Electric Power Company Fair Fund.

VI. Additional Information

Additional information regarding the American Electric Power Company Fair Fund may be found at www.AmericanElectricFairFund.com. Additional Claim Forms and Plan Notices may also be downloaded at the American Electric Power Company Inc. Fair Fund's website. You may obtain additional information or request copies of Claim Form and Plan Notice by calling the American Electric Power Company Fair Fund's toll-free number at 1-888-399-8856, or by emailing Info@AmericanElectricFairFund.com.

**PLEASE CHECK THE WEBSITE WWW.AMERICANELECTRICFAIRFUND.COM
FREQUENTLY FOR UPDATES.**